

Sector  
PATENT



Attorney Docket No. A-63915/DJB/RMS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
NOLAN, et al.  
Serial No. 08/873,601  
Filed: 12 June 1997  
For: **COMBINATORIAL ENZYMATIC  
COMPLEXES**

Examiner: Not Assigned  
Group Art Unit: 1211

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX MISSING PARTS**, Washington, DC 20231 on 20 November 1997

Signed: *Gloria L. Tabuena*  
Gloria L. Tabuena

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date  
Granted mailed 31 October 1997, enclosed are the following documents:

1. Declaration and Power of Attorney;
2. Verified Statement (Declaration) Claiming Small Entity Status 37 CFR 1.9(f) and 1.27(c) - Small Business Concern;
3. Power of Attorney by Assignee;
4. **COPY** of Assignment. Original is being forwarded to the Assignment Branch for recordation;
5. Form PTO-1533, Part 2; and
6. Check No. 95316 for \$850.00 to cover the filing fee, missing parts

surcharge, and additional claims fees.

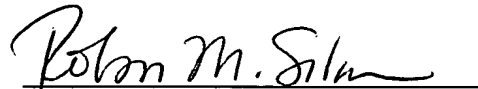
|                   |                   |           |
|-------------------|-------------------|-----------|
| 12/17/1997 DBEACH | 00000074 08873601 |           |
| 01 FC:201         |                   | 395.00 OP |
| 02 FC:205         |                   | 65.00 OP  |
| 03 FC:202         |                   | 82.00 OP  |
| 04 FC:203         |                   | 308.00 OP |

Serial No.: 08/873,601  
Filed: 12 June 1997

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-63915/DJB/RMS). A duplicate copy of this sheet is enclosed.

Respectfully submitted,

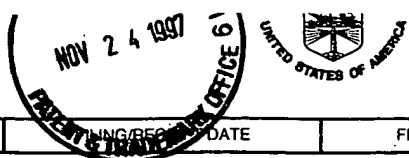
FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

  
Robin M. Silva  
Reg. No. 38,304

Four Embarcadero Center  
Suite 3400  
San Francisco, CA 94111-4187  
Telephone: 415-781-1989

Dated: 20 November 1997  
573184

Missing Parts



Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

|                    |                       |                       |                           |
|--------------------|-----------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECORDING DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|-----------------------|-----------------------|---------------------------|

08/873,601      06/12/97      NOLAN      G      A-63915/DJB/

0242/1031

FLEHR HOHBACH TEST ALBRITTON & HERBERT      NOT ASSIGNED  
 FOUR EMBARCADERO CENTER  
 SUITE 3400  
 SAN FRANCISCO CA 94111-4187      1211

DATE MAILED: 10/31/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a  large entity  small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  large entity  small entity (verified statement filed), is \$ 1730.

- 1. The statutory basic filing fee is: 790.00
  - missing.
  - insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).
- 2. Additional claim fees of \$ 780.00, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- 3. The oath or declaration:
  - is missing.
  - does not cover the newly submitted items.
  - does not identify the application to which it applies.
  - does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- 4. The signature(s) to the oath or declaration is/are:
  - missing.
  - by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- 7. Your filing receipt was mailed in error because your check was returned without payment.
- 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Thomas R. R.  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202